



STATE REGULATION OF PUBLIC UTILITIES REVIEW COMMITTEE

**CANDIDATES FOR THE
SOUTH CAROLINA PUBLIC SERVICE COMMISSION
EDITED PERSONAL DATA QUESTIONNAIRE**

NOTICE: The information requested herein is needed to assist the Committee in its investigation of candidates for the Public Service Commission. This questionnaire is the initial step in the investigation of your candidacy. A completed questionnaire should be returned to the Committee as soon as possible, but in any event no later than noon, 12:00 p.m., on Friday, October 11, 2019. (Use and attach separate sheets, if necessary.)

PLEASE SPECIFY THE SEAT FOR WHICH YOU ARE APPLYING (THE CONGRESSIONAL DISTRICT IN WHICH YOU RESIDE; YOU MAY ONLY APPLY FOR ONE SEAT): Congressional District 5

1. Full Name: Mr. Headen Bynum Thomas
Ms.

Home Address: 546 Willowblue Run
Rock Hill, SC 29732

Business Address: Moore & Moore CPA's P.A. (part time)
325 S. Oakland Avenue
Rock Hill, SC 29730

6. List each institution of higher learning you attended, including dates of attendance and degrees awarded. Please provide an official transcript prior to your screening hearing. If you left any institution without receiving a degree, state the reason for leaving.

Wofford College – September 1969 through January 1973, BA Economics
Winthrop College – January 1977 through May 1979, MBA degree

7. List any continuing education courses or other professional education or training programs that you have attended in the past five years.

Individual Income Tax Workshop 2017 by NCPE (2 days)
Individual Income Tax Workshop 2016 by NCPE (2 days)
Individual Income Tax Workshop 2015 by NCPE (2 days)
Individual Income Tax Workshop 2014 by NCPE (2 days)

Also many other online webcasts (tax and business related) continuing professional education to maintain my CPA license in North Carolina

8. Have you been employed or held any position in any of the following areas?

Yes ___ (a) energy issues

- _____ (b) telecommunications issues
- _____ (c) consumer protection and advocacy issues
- _____ (d) water and wastewater issues
- Yes ___ (e) finance, economics, and statistics
- Yes ___ (f) accounting
- _____ (g) engineering
- _____ (h) law

Please provide the duration of your employment or service and details about the nature of the work or the position.

I was employed by Piedmont Natural Gas Company in Charlotte, NC, from January 1983 until October 2006. I served in a variety of roles including Tax Staff Accountant, Corporate Tax Manager, Director of Investor Relations and Director of Investor Relations & Corporate Communications.

In the Tax Department I was responsible for accounting for income taxes and general taxes, financial reporting of taxes and the preparation of work papers and schedules supporting the income tax expense, general tax expense and deferred tax accounts for rate case filings.

As Director of Investor Relations, I was responsible for communicating financial information to securities analysts, the investment community, preparation of materials for the rating agencies, scripting earnings conference calls, drafting earnings releases, managed an equity financing and prepared and delivered analyst presentations.

In Corporate Communications, I was corporate spokesperson for radio and TV interviews, the press and the news media communicating about the company and natural gas energy issues to a variety of audiences including shareholders, customers, employees and the general public.

9. Have you served in the military? If so, give the dates of service, branch of service, highest rank, serial number, present status, and the character of your discharge or release. Please provide a copy of your DD-214 prior to your screening hearing.
No
10. Have you ever held public office? If so, provide details, including the office, whether elected or appointed, the length and dates of your service. Also, state whether or not you timely filed reports with the State Ethics Commission during the period you held public office. If not, were you ever subject to a penalty. If so, provide details, including dates.
No
11. Have you ever been an unsuccessful candidate for elective, judicial, or other public office? If so, provide details, including dates.
Yes – I was a candidate for the Public Service Commission , District 5 in 2012 and 2013.

12. Please list in chronological order any employment of you by any governmental agency (whether full time or part time, contractual or at will, consulting or otherwise). Provide dates of employment, name of employer, name of supervisor, and major job responsibilities.

None

13. Please list in chronological order any occupation, business, or profession in which you have been engaged or employed (other than serving in a public office or being employed by a governmental agency). Please briefly note the nature of your work during each period.

Manufacturer's Supply Company – Rock Hill, SC – February 1973 – January 1977 Responsible for purchasing, inventory control, directing warehouse activities

Moore, Glover, Pierce and Harrell, CPA's – Rock Hill, SC – January 1978 – May 1979 Part time while working on MBA degree. Auditing, tax and general accounting services

Deloitte Haskins & Sells – Charlotte, NC – June 1979 – December 1982 Provided tax planning, tax compliance and tax audit resolution services

Piedmont Natural Gas Company – Charlotte, NC – January 1983 – October 2006 Started as Tax Staff Accountant and progressed to Corporate Tax Manager, Director of Investor Relations and then to Director of Investor Relations & Corporate Communications. Managed all aspects of the Tax Department including planning, compliance, accounting and preparation of tax-related aspects of rate case filings. Investor Relations – managed equity financing, developed rating agency packages, scripted earnings conference calls, prepared and delivered analyst presentations. Corporate Communications – corporate spokesperson, radio and TV interviews, annual report to shareholders, press releases, and strategic communication plan

Heritage Bluff Preserve, LLC – Rock Hill, SC – January 2007 – present (part time) Closely held business involved in real estate investment, zoning and permitting.

Moore & Moore CPA's, P.A. – Rock Hill, SC – January 2009 – present (part time) Primarily involved in tax compliance and planning for a wide range of clients.

Edisto Heritage Development, Inc. – Rock Hill, SC – May 2010 – present (part time) Closely held business involved in real estate development activities including managing engineers, contractors, surveyors and attorneys.

14. Are you now an officer or director or involved in the management of any business enterprise? Explain.

Yes, as mentioned above, on a part time basis. Heritage Bluff Preserve, LLC as Manager involved in real estate investment, zoning and permitting and Edisto

Heritage Development, Inc. as President involved in real estate development activities including managing engineers, contractors, surveyors and attorneys.

15. Describe any financial arrangements or business relationships that you currently have or have had in the past that could pose a conflict of interest in the position you seek. Explain how you would resolve any potential conflict of interest.

I was formerly employed by Piedmont Natural Gas Company. That relationship ended October 31, 2006, when I took early retirement. Piedmont Natural Gas Company is now owned by Duke Energy. The only financial or business relationship at this time is that I pay for and receive group health insurance. Also I am a member of their Health Reimbursement Plan and receive an annual contribution of \$1,360 from Duke Energy. If I become a Commissioner for the Public Service Commission, I plan to drop that insurance and enroll in the state employee insurance program. At that time I will no longer receive the \$1,360 annual benefit.

20. Have you ever been a named party in a lawsuit, either personally or professionally? If so, provide details.

Yes – In connection with a divorce granted November 13, 1996.

Yes – In connection with issuance of a dock permit. Batson L. Hewitt, Jr. and Bailey Island Club Association, Inc. (Petitioners) v SCDHEC and Headen B. Thomas (Respondents) Docket No.09-ALJ-07-0054-CC. Permit upheld for Respondent Thomas on March 17, 2010.

22. Are you now or have you ever been employed as a “lobbyist,” as defined by S.C. Code Section 2-17-10(13), or have you acted in the capacity of a “lobbyist’s principal,” as defined by S.C. Code Section 2-17-10(14)? If so, please provide the dates of your employment or activity in such capacity and specify by whom you were directed or employed.

No

23. Since your decision to seek a position on the Public Service Commission, have you accepted lodging, transportation, entertainment, food, meals, beverages, money, or any other thing of value, as defined by S.C. Code Section 2-17-10(1), from a lobbyist or lobbyist’s principal? If so, please specify the item(s) received, date of receipt, and the lobbyist or lobbyist’s principal providing the item(s).

No

26. Other than expenditures for travel or room and board, please itemize all expenditures (by amount, type, and date) by you, or on your behalf, in seeking the office of Public Service Commissioner.

On September 15, 2019, I spent \$5 to have a Wofford transcript provided in connection with my application materials.

27. Please list the amount and recipient of all contributions made to members of the General Assembly within six months of filing this questionnaire.

None

28. Have you directly or indirectly requested a pledge of any member of the General Assembly as to your election for the position for which you are being screened? Have you received the assurance of any public official or public employee that they will seek the pledge of any member of the General Assembly as to your election for the position for which you are being screened?

No

29. Have you or has anyone on your behalf solicited or collected funds to aid in the promotion of your candidacy for the position of Public Service Commissioner? If so, please specify the amount, solicitor (if applicable), donor, and date of solicitation and/or receipt.

No

30. List all professional organizations of which you are a member and give the titles and dates of any offices that you have held in such groups.

None

31. List all civic, charitable, religious, educational, social, and fraternal organizations of which you are or have been a member during the past five (5) years.

Saint Johns United Methodist Church – Rock Hill, SC

Rock Hill Country Club – Rock Hill, SC

Cotillion Club – Rock Hill, SC

32. State any other information which may reflect positively or negatively on you, or which you believe should be disclosed in connection with consideration of you for a position on the Public Service Commission.

I am a licensed CPA in the state of North Carolina.

I gained a good understanding of the public utility ratemaking environment during my 22 years of working for Piedmont Natural Gas Company.

33. List the names, addresses, and telephone numbers of five (5) persons, including your banker, from whom references could be required. Also, provide this Committee with original letters of recommendation from each person listed herein, including their signature. A photocopy, facsimile, or electronic submission of a letter of recommendation will not be accepted.

1) Banker Letter

2) Wade B. Fairey, Sr.

3) Ted C. Coble

4) Clarke Moore, CPA

5) William E. Jenkinson, III

YOUR SIGNATURE WILL BE HELD TO CONSTITUTE A WAIVER OF THE CONFIDENTIALITY OF ANY PROCEEDING BEFORE A GRIEVANCE COMMITTEE OR ANY RECORD INFORMATION CONCERNING YOUR CREDIT.

I HEREBY CERTIFY THAT THE ANSWERS TO THE ABOVE QUESTIONS ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

Signature:

Date:

PLEASE NOTE: S.C. CODE SECTIONS REFERRED TO IN THIS DOCUMENT ARE CONTAINED IN THE PDQ CODE REFERENCES DOCUMENT.

I ACKNOWLEDGE, AS A CANDIDATE, THAT I AM RESPONSIBLE FOR REVIEWING THE FOLLOWING DOCUMENTS. THESE DOCUMENTS ARE PROVIDED ON THE USB FLASH DRIVE WITH THE PUBLIC SERVICE COMMISSION APPLICATION MATERIALS AND ARE ACCESSIBLE ON THE PUBLIC UTILITY REVIEW COMMITTEE'S WEBSITE:

- (1) An Introduction to the Public Service Commission;**
- (2) The press release issued by the Committee announcing the start of its application process;**
- (3) An Advisory Opinion relating to attendance by candidates for the Public Service Commission at legislative receptions;**
- (4) An Advisory Opinion relating to attendance by candidates for the Public Service Commission at legislative caucus meetings;**
- (5) The Judicial Code of Conduct;**
- (6) Statutory definitions and statute concerning pledges; and**
- (7) Information regarding accessing your credit report.**

Signature:

Date: